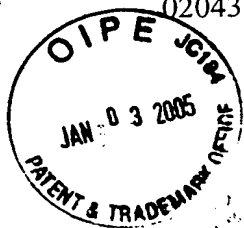


ATTORNEY DOCKET NO.  
020431.0731

3623  
SERIAL NO. 09/771,207



1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Gregory H. Slocum  
U.S. Patent Serial No.: 09/771,207  
Filing Date: January 26, 2001  
Examiner: Scott L. Jarrett  
Group No.: 3623  
Confirmation No.: 7186  
Title: **SYSTEM AND METHOD FOR DEMAND  
PLANNING USING SEQUENTIAL  
FORECASTING**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Dear Sir:

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

*Cherie Barnes*  
\_\_\_\_\_  
Signature

Date of Deposit: 12/29/2004

**REQUEST FOR CONSIDERATION OF AN INFORMATION  
DISCLOSURE STATEMENT TIMELY FILED**

For reasons set forth below, it is respectfully requested that the United States Patent and Trademark Office (PTO) file for this application be promptly forwarded to Examiner Scott L. Jarrett for confirmation that the references listed on PTO-1449 attached to the Information Disclosure Statement filed December 10, 2001 have been considered.

On December 10, 2001 Applicant filed an Information Disclosure Statement (IDS) with PTO-1449 form (three (3) pages) attached, listing the references submitted for consideration. Copies of all references were submitted to the U.S. Patent and Trademark Office on December 10, 2001.

DAL01:831337.1

This IDS was mailed to the U.S. Patent and Trademark Office on December 10, 2001 as shown on the enclosed copy of the date-stamped Baker Botts L.L.P. return postcard.

An Office Action for this application was mailed on December 15, 2004. However, Examiner Scott L. Jarrett has not returned a copy of the PTO-1449 form indicating consideration of the references cited in the Information Disclosure Statement filed December 10, 2001.

Accordingly, **Applicant hereby requests confirmation of consideration of the references on the previously filed Information Disclosure Statement.** Examiner Scott L. Jarrett is requested to initial the references listed on the PTO-1449 form to show that they were appropriately considered during examination of this Application. The Commissioner is hereby authorized to credit any overpayment, or to charge any additional fee required by this paper, to Deposit Account No. 02-0384 of Baker Botts L.L.P.

BAKER BOTTS L.L.P.  
Attorneys for Applicant



Christopher W. Kennerly  
Reg. No. 40,675

2001 Ross Avenue, Suite 600  
Dallas, Texas 75201-2980  
(214) 953-6812

Enclosures: Copy of Information Disclosure Statement filed December 10, 2001  
with Form PTO-1449  
Copy of the Baker Botts L.L.P. return postcard showing  
date stamp of December 10, 2001



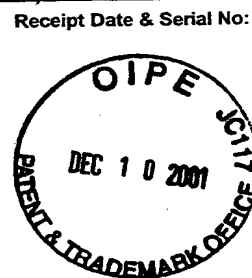
The "Received" stamp of the Patent and Trademark Office imprinted hereon acknowledges the filing of:

| Total Pages   |       | Total Pages  |       |
|---|-------|--|-------|
| <input type="checkbox"/> New Appln.; C.P.A.; Con.; CIP.; Div.; Provisional; Converted Provisional (Specification, Claims, Abstract) | _____ | <input type="checkbox"/> ___ Mo. Extension of Time   | _____ |
| <input type="checkbox"/> Bibliographic Data Sheet   | _____ | <input type="checkbox"/> Notice of Appeal  | _____ |
| <input type="checkbox"/> Declaration/power of Attorney  | _____ | <input type="checkbox"/> Appeal Brief  | _____ |
| <input type="checkbox"/> Assignment   | _____ | <input type="checkbox"/> Issue Fee Transmittal   | _____ |
| <input type="checkbox"/> Verified Statement   | _____ | <input type="checkbox"/> Check No. _____ Amt.: _____   |       |
| <input type="checkbox"/> Formal Drawing   | _____ | <input type="checkbox"/> Check No. _____ Amt.: _____   |       |
| <input type="checkbox"/> Informal Drawings  | _____ | <input checked="" type="checkbox"/> Serial No. <u>09/771,207</u>                                     |       |
| <input type="checkbox"/> Amendment/Response   | _____ | <input checked="" type="checkbox"/> Other <u>Information Disclosure Statement (2pgs); References</u> |       |

PTO Form 1449 (1pg)

Name of Inventor(s): Gregory H. Slagum  
Title of Invention: System And Method For Demand Planning Using Sequential  
Client or Applicant: 12 Technologies BB File No.: 020431.0731

Mailed: 12-10-01 Certificate of Mailing  
Express Mail ☒  
Due: \_\_\_\_\_ Receipt No.: 21 13918135545  
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BAKER BOTTS, L.L.P.

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Attorney's Docket:  
020431.0731



PATENT  
09/771,207

1



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Gregory H. Slocum  
Serial No.: 09/771,207  
Filing Date: January 26, 2001  
Group Art Unit: 2163  
Title: SYSTEM AND METHOD FOR DEMAND PLANNING  
USING SEQUENTIAL FORECASTING

Assistant Commissioner  
for Patents  
Washington, DC 20231

Dear Sir:

**INFORMATION DISCLOSURE STATEMENT**

Applicant respectfully requests, pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98, that the references listed on the attached PTO-1449 form be considered and cited in the examination of the above-identified patent application. Copies of the references are enclosed for the convenience of the Examiner. No representation is made that a search has been made, that the references are material to the patentability of the present application, or that the references qualify as prior art.

Applicant believes that this Information Disclosure Statement has been filed before the mailing date of the first Office Action in this case. Pursuant to 37 C.F.R. § 1.97(b), Applicant believes that no fee is due. The Commissioner is hereby authorized to charge any fees or credit any overpayments to Deposit Account No. 02-0384 of Baker Botts L.L.P.

2

Respectfully submitted,

BAKER BOTTS L.L.P.



Christopher W. Kennerly  
Reg. No. 40,675  
Attorneys for Applicant

Please send correspondence to:  
Christopher W. Kennerly, Esq.  
Baker Botts L.L.P.  
2001 Ross Avenue, Suite 600  
Dallas, Texas 75201-2980  
(214) 953-6812

Date: 12/7/01

|  |                               |                                   |                                 |
|--|-------------------------------|-----------------------------------|---------------------------------|
| PTO-1449<br><b>Information Disclosure Citation<br/>In an Application</b> | Application No.<br>09/771,207 | Applicant(s)<br>Gregory H. Slocum |                                 |
|  | Docket No.<br>020431.0731     | Group Art Unit<br>2163            | Filing Date<br>January 26, 2001 |

## U.S. PATENT DOCUMENTS

|   | DOCUMENT NO. | DATE     | NAME         | CLASS | SUBCLASS | FILING DATE |
|---|--------------|----------|--------------|-------|----------|-------------|
| A | 5,953,707    | 9/14/99  | Huang et al. | 705   | 10       | 2/21/97     |
| B | 6,151,582    | 11/21/00 | Huang et al. | 705   | 10       | 2/24/97     |
| C |              |          |              |       |          |             |
| D |              |          |              |       |          |             |
| E |              |          |              |       |          |             |
| F |              |          |              |       |          |             |
| G |              |          |              |       |          |             |
| H |              |          |              |       |          |             |
| I |              |          |              |       |          |             |
| J |              |          |              |       |          |             |
| K |              |          |              |       |          |             |
| L |              |          |              |       |          |             |
| M |              |          |              |       |          |             |

## FOREIGN PATENT DOCUMENTS

|   | DOCUMENT NO. | DATE | COUNTRY | CLASS | SUBCLASS | TRANSLATION |    |
|---|--------------|------|---------|-------|----------|-------------|----|
|   |              |      |         |       |          | YES         | NO |
| N |              |      |         |       |          |             |    |
| O |              |      |         |       |          |             |    |
| P |              |      |         |       |          |             |    |
| Q |              |      |         |       |          |             |    |
| R |              |      |         |       |          |             |    |

|   | DOCUMENT (Including Author, Title, Source, and Pertinent Pages) | DATE |
|---|---|------|
| S |   |      |
| T |   |      |
| U |   |      |

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

U.S. PATENT AND TRADEMARK OFFICE

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